GAU 1646

#### **CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

John P. Parise
(Print Name)

RECEIVED

SEP 20 1900

**TECH CENTER 1600/2900** 

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Signature)

In re Patent Application

September 14, 1999

Brockhaus et al

Group: 1646

Serial No. 08/444,790, filed May 19, 1995

Examiner: D. Basham

For: HUMAN TNF RECEPTOR

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110 September 14, 1999

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Serial No. 08/444,790

Filed: May 19, 1995

Documents C2, C9 and C10 listed on Form PTO-1449 are enclosed. Documents B1-B5,

and C1, and C3-C8 are not being submitted since they were previously made of record in this

application on May 19, 1995.

Enclosed is a Search Report, dated July 19, 1999, which was issued by the European Patent

Office in the European application. An English language version of the Categories identified on

the Search Report is provided.

Consideration of all the documents cited on Form PTO-1449 is requested.

Since the Supplemental Information Disclosure Statement is submitted after the first Office

Action on the merits and after three months of the filing date for the captioned application, there is

enclosed a Certificate under 37 C.F.R. § 1.97(e).

Respectfully-submitted,

Attorney for Applicant(s)

John P. Parise

(Reg. No./34403)

340 Kingsland Street

Nutley, New Jersey 07110

Telephone: (973) 235-6326

Telefax: (973) 235-2363

87906

2



# THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Brockhaus et al

Group: 1646

Serial No. 08/444,790, filed May 19, 1995

Examiner: D. Basham

For: HUMAN TNF RECEPTOR

### STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110 September 14, 1999

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

I hereby certify:

X That each item of information contained in the enclosed information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this information disclosure statement.

That <u>no</u> item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted.

Attorney for Applicant(s)

John P. Parise (Reg. No. 34403) 340 Kingsland Street

Nutley, NJ 07110

Telephone: (973) 235-6326 Telefax: (973) 235-2363





#### Category of Cited Documents

sidered to be of special importance by itself **X**:

Of special importance in connection with another publication of the same **Y**: category

Technological background A:

0: Nonwritten disclosure

**P**: Intermediate literature

Theories or principles on which the invention is based T:

**E**: Older patent document which, however, was published first on or after the date of filing

Document listed in the application D:

Document listed for other reasons L:

Member of the same family of patents, conforming document &:

KATEGORIE DER GENANNTEN DOKUMENTEN von besonderer Bedeutung allein betrachtet von besonderer Bedeutung in Verbindung mit einer anderen Veröffentlichung derselben Kategorie

technologischer Hintergrund

nichtschriftliche Offenbarung

Zwischenliteratur der Erfindung zugrunde liegende Theorien oder Grundsatze

E. älteres Patentdokument, das jedoch erst am oder nach dem Anmeldedatum veröffentlicht worden is

D. in der Anmeldung angeführtes Dokument

L. aus andern Grunden angeführtes Dokument

& : Mitglied der gleichen Patentlamilie, übereinstimmendes Dokument

EUROPEAN SEARCH REPORT: GERMAN TRANSLATION

Patent Office Stamp

Your	SUPPLEMENTAL INFORMATION DISCLOSURE S	STATEEM	ENT
	September 14, 1999	0	E
Date	08/444,790	0	
Ser. No	May 19,1995	SEP	1 7 1999 臣
Filed	Brockhaus et al - HUMAN TNF RECEPTOR	PATEM	& TRADEME
			<del></del>
has been received in this office.			

Commissioner of Patents and Trademarks f/u 9/24/99

JPP:bah